

# Model-based tests to detect gene effect in pharmacokinetic studies

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# Pharmacogenetics

- Study of the interindividual variability in genes coding for drug transporters, drug metabolising enzymes and drug targets in relation to the drug pharmacokinetics (PK) and pharmacodynamics<sup>1</sup>
  - warfarine /CYP2C9 and VKORC1<sup>2</sup>
  - irinotecan / UGT1A1<sup>3</sup>
- Single Nucleotide Polymorphisms (SNP)
  - biallelic: 1 major allele (C) and 1 minor allele (T)
  - 3 possible genotypes: common homozygote (CC), heterozygote (CT) and rare homozygote (TT)
  - Hardy-Weinberg proportions → unbalanced distribution
    - extreme for low minor allele frequency
    - variation in allelic frequencies between demes

<sup>1</sup>EMEA. ICH topic E15 (2008)

<sup>2</sup>Kim MJ et al. J Clin Pharmacol (2007)

<sup>3</sup>Kim TW et al. Ther Drug Monit (2007)

# Pharmacogenetic data analysis

- Mainly non-compartmental approach
  - one-way analysis of variance (ANOVA) on the individual parameters of interest<sup>4</sup> (AUC,  $C_{max}$ ,...)
- More recently nonlinear mixed effect models (NLMEM)
  - screening stage
    - ANOVA on the empirical bayes estimates (EBE)
  - model building
    - likelihood ratio test (LRT)<sup>5</sup>
    - alternative: Wald test on the gene effect coefficients<sup>6</sup>

<sup>4</sup>Van Schaik R et al. Clin Pharmacol Ther (2009)

<sup>5</sup>Arab-Alameddine M et al. Clin Pharmacol Ther (2009)

<sup>6</sup>Yamasaki Y et al. Clin Pharmacol Ther (2008)

# Objectives

- To evaluate by simulation the three model-based tests to detect a gene effect on one PK parameter
  - with different estimation algorithms
- To investigate the impact of the design on the performances of these tests
- To study the influence of CYP2D6 polymorphisms on PK of a drug under development (drug X) and its active metabolite with the appropriate test

# Model and tests

- Model

- for a gene effect on parameter  $\theta_p$  of subject i

$$\log(\theta_{p,i}) = \log(\mu_p) + \beta_{G_i} + \eta_{p,i}$$

$$\beta_{G_i} = \begin{cases} 0 & \text{if } G_i = CC \\ \beta_1 & \text{if } G_i = CT \\ \beta_2 & \text{if } G_i = TT \end{cases} \quad \begin{array}{l} M_{base} : \{\beta_1 = \beta_2 = 0\} \\ M_{full} : \{\beta_1 \neq \beta_2 \neq 0\} \end{array}$$

- Tests

- ANOVA  $\sim F_{N-3}^2$
- Wald test

$$W = \begin{pmatrix} \beta_1 \\ \beta_2 \end{pmatrix}^T V^{-1} \begin{pmatrix} \beta_1 \\ \beta_2 \end{pmatrix} \sim \chi_2^2$$

V : block for  $\beta_1$  and  $\beta_2$  of the estimation variance matrix

- LRT

$$Q = -2 \times (L_{base} - L_{full}) \sim \chi_2^2$$

$L_{base}$  and  $L_{full}$  the loglikelihoods of  $M_{base}$  and  $M_{full}$

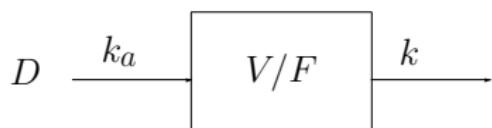
# Simulation setting

- Based on the COPHAR2-ANRS 111 trial<sup>7</sup>
  - Objective: to assess the benefit of early therapeutic drug-monitoring
  - HIV-1 positive patients naïve of treatment by protease inhibitors
- PK substudy on indinavir two weeks after treatment onset
  - N=40 patients
  - N=4 samples at 1, 3, 6 et 12h following administration
- Indinavir substrate of P-glycoprotein (P-gP)
  - exon 26 (3534C>T) and 21 (2677G>T) of ABCB1 gene
    - exon 26: 24% CC, 48% CT, 28% TT
    - exon 21: 29% GG, 44% GT, 27% TT

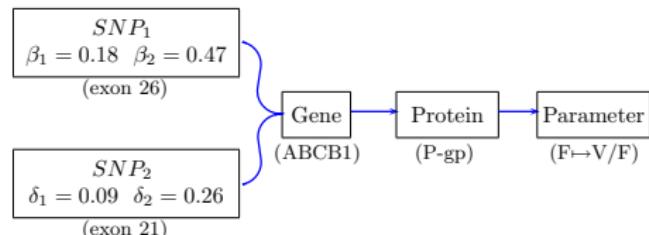
<sup>7</sup> Duval et al. Fundam Clin Pharmacol (2009)

# Simulated data

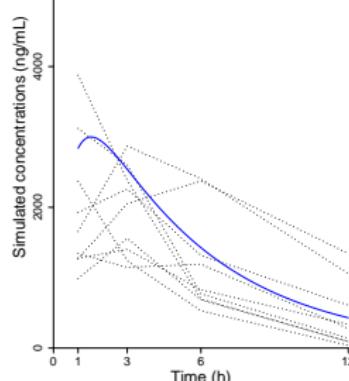
## ■ Pharmacokinetic model



## ■ Genetic model

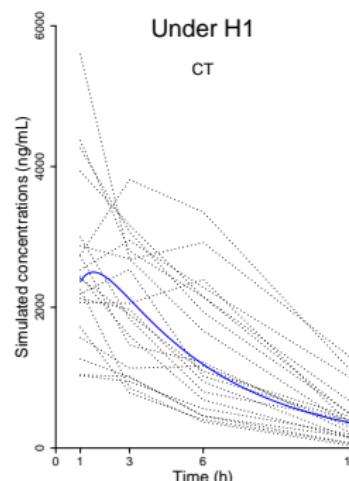


CC

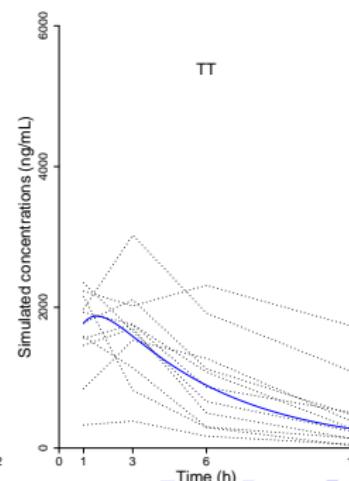


Under H1

CT



TT



# Evaluation

Design	Total of observations	Number of groups	Patients per group /Sampling times	$H_0$	$H_1$	Estimation algorithm
N=40/n=4*	160	1	40/(1,3,6,12)	1000	1000	FOCE-I & SAEM
N=80/n=2**	160	4	30/(1,3) 10/(3,12) 30/(6,12) 10/(1,12)	1000	1000	SAEM
N=100/n=4,1	160	2	20/(1,3,6,12) 80/(12)	1000	1000	SAEM
N=200***/n=4	800	1	200/(1,3,6,12)	1000	-	FOCE-I & SAEM

\*Design inspired from the COPHAR 2 study

\*\* Design optimized using PFIM Interface 2.1<sup>8</sup>

\*\*\*Design with more subjects to be closer to asymptotic conditions for evaluation of type I error

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# Tests - Evaluation<sup>11</sup>

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<sup>9</sup> Sheiner L et al. NONMEM Version 5.1, 1998

<sup>10</sup> Lavielle M. MONOLIX Version 2.1, 2006

<sup>11</sup> Bertrand et al. J Biopharm Stat (2008)

# Tests - Results

Test	Algorithm	N=40				N=200	
		K	$\alpha$	K	$1 - \beta$	$1 - \beta_{corr}$	K
ANOVA	FOCE-I	986	5.6	968	71.2	79.3	982
	SAEM	1000	5.3	1000	71.1	70.9	1000
Wald	FOCE-I	924	11.7	905	57.2	24.7	860
	SAEM	1000	8.9	1000	81.8	73.0	1000
LRT	FOCE-I	964	7.9	947	78.7	71.0	956
	SAEM	1000	7.6	1000	78.6	73.3	1000

K = number of data sets on which the test could be performed

$\alpha$  = type I error

$1 - \beta$  = power

$1 - \beta_{corr}$  = corrected power

Prediction interval for 5% = [3.6; 6.4]

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# Impact of design - Evaluation<sup>12</sup>

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<sup>12</sup>Bertrand et al. J Pharmacokinet Pharmacodyn (2009)

# Impact of design - Results with SAEM

	N=40			N=80			N=100			N=200
	$\alpha$	1- $\beta$	1- $\beta_{corr}$	$\alpha$	1- $\beta$	1- $\beta_{corr}$	$\alpha$	1- $\beta$	1- $\beta_{corr}$	$\alpha$
ANOVA	5.3	71.1	70.9	6.4	93.4	91.5	4.3	78.3	79.5	5.0
Wald	8.9	81.8	73.0	8.7	95.5	92.5	8.4	85.7	81.8	5.1
LRT	7.6	78.6	73.3	7.8	94.6	92.2	6.8	82.9	79.7	5.9

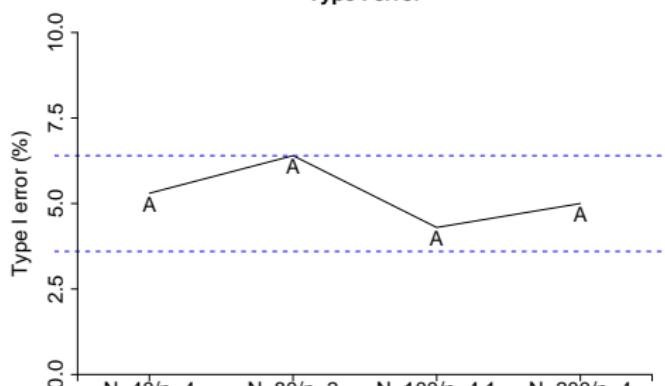
Prediction interval for 5% = [3.6 – 6.4]

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Type I error

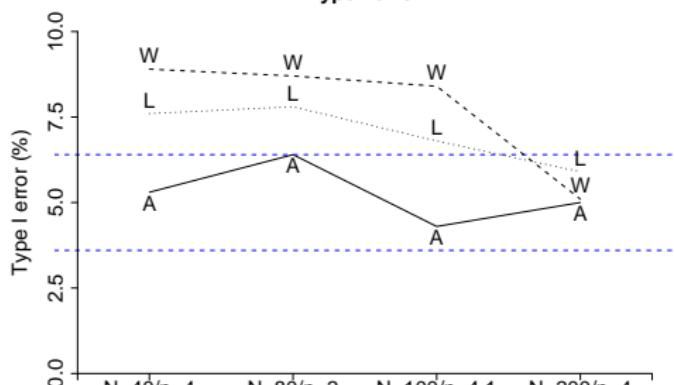


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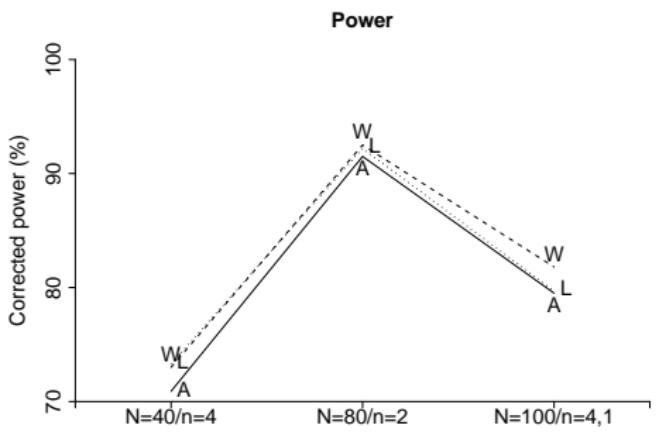
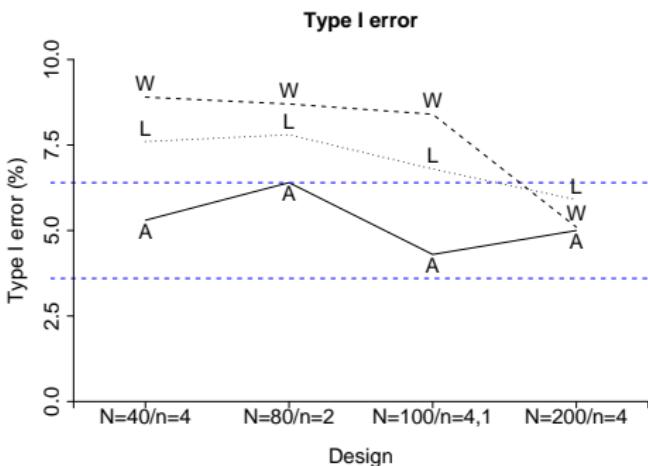
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Prediction interval for 5% = [3.6 – 6.4]



# Application to drug X and its active metabolite

## ■ PK study

- N=99/n=4 at 1, 3, 6 and 24h for both the parent drug and the metabolite
- two occasions
  - 4 and 8 weeks after treatment onset (W4 and W8)
- three oral doses investigated

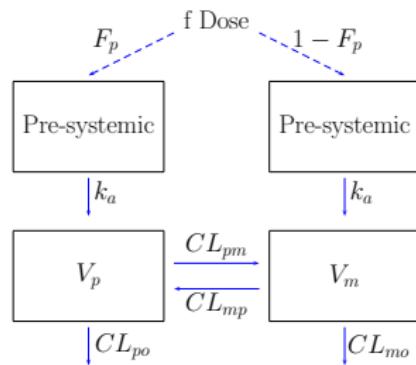
## ■ CYP2D6

- involved in elimination of the active metabolite
- known SNPs: \*3 (2549delA), \*4 (1846G>A), \*6 (1707delT), \*7 (2935A>C) and \*8 (1758G>T)
- two rare alleles carriers: poor metabolizers

CYP2D6 (EM/PM)	Number of patients (%)
	87 (86)/12 (12)

# Structural model

- Modelling with MONOLIX Version 2.4
- First-pass and interconversion mechanisms



- Parameters identifiability
  - $V_p = V_m$ , similar molecular mass and physicochemical properties

# Population PK parameters

Parameter (unit)	Estimate	Relative standard error (%)
f	1	-
$\beta_{f,dose}^*$	-0.029	19
$F_p$	0.84	2
$K_a/h$	6.16	31
$V L$	18.7	4
$Cl_{po} L/h$	1.32	12
$Cl_{pm} L/h$	2.15	7
$Cl_{mo} L/h$	0.41	9
$Cl_{mp} L/h$	0.14	11
$\omega f(\%)$	22	22
$\omega F_p(\%)$	0	-
$\omega K_a(\%)$	94	48
$\omega V(\%)$	20	24
$\omega Cl_{po}(\%)$	46	33
$\omega Cl_{pm}(\%)$	0	-
$\omega Cl_{mo}(\%)$	58	13
$\omega Cl_{mp}(\%)$	45	72
$\gamma f(\%)$	15	34
$\gamma F_p(\%)$	0	-
$\gamma K_a(\%)$	131	24
$\gamma V(\%)$	15	34
$\gamma Cl_{po}(\%)$	36	47
$\gamma Cl_{pm}(\%)$	0	-
$\gamma Cl_{mo}(\%)$	29	35
$\gamma Cl_{mp}(\%)$	31	166
$\sigma S33(\%)$	28	4
$\sigma S35(\%)$	9	3

$$*f_i = f \times e^{\beta_{f,dose} \times (DOSE - 10)} e^{\eta f,i}$$

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$\omega F_p(\%)$	0	-
$\omega K_a(\%)$	94	48
$\omega V(\%)$	20	24
$\omega Cl_{po}(\%)$	46	33
$\omega Cl_{pm}(\%)$	0	-
$\omega Cl_{mo}(\%)$	58	13
$\omega Cl_{mp}(\%)$	45	72
$\gamma f(\%)$	15	34
$\gamma F_p(\%)$	0	-
$\gamma K_a(\%)$	131	24
$\gamma V(\%)$	15	34
$\gamma Cl_{po}(\%)$	36	47
$\gamma Cl_{pm}(\%)$	0	-
$\gamma Cl_{mo}(\%)$	29	35
$\gamma Cl_{mp}(\%)$	31	166
$\sigma S33(\%)$	28	4
$\sigma S35(\%)$	9	3

$$*f_i = f \times e^{\beta_{f,dose} \times (DOSE - 10)} e^{\eta_{f,i}}$$

# Population PK parameters

Parameter (unit)	Estimate	Relative standard error (%)
f	1	-
$\beta_{f,dose}^*$	-0.029	19
$F_p$	0.84	2
$K_a/h$	6.16	31
$V L$	18.7	4
$Cl_{po} L/h$	1.32	12
$Cl_{pm} L/h$	2.15	7
$Cl_{mo} L/h$	0.41	9
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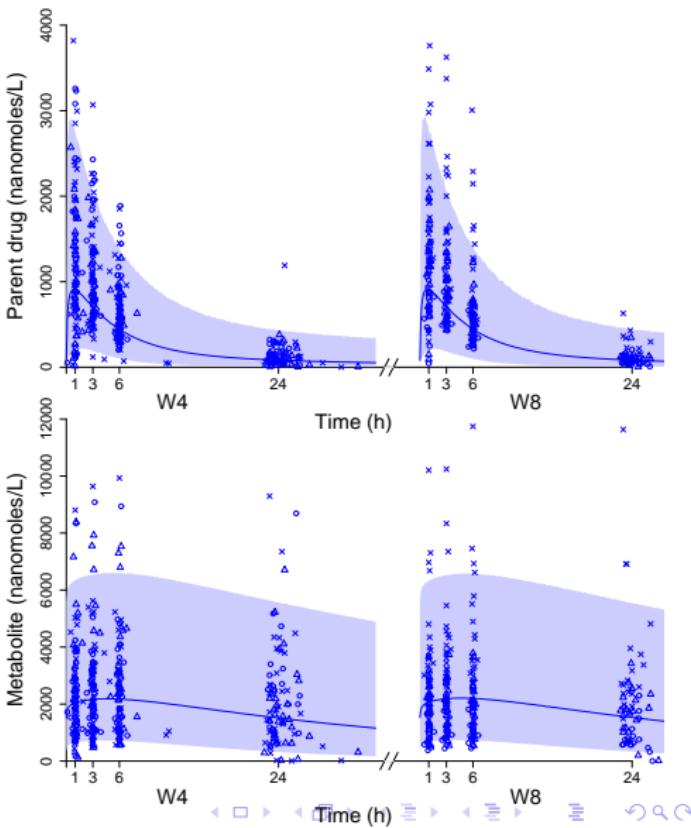
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# Genetic covariate model

- Genetic component of variability<sup>13</sup>

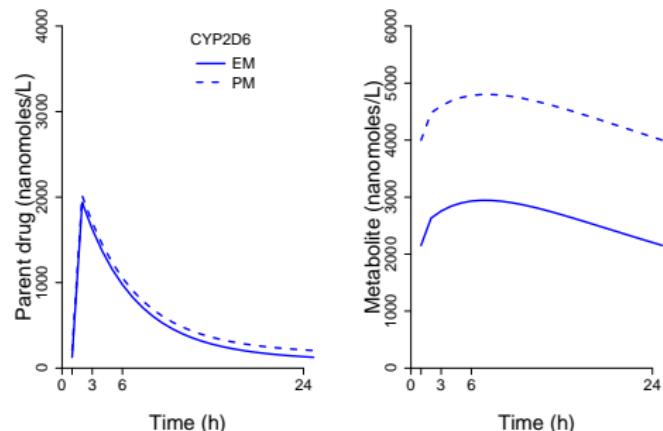
$$r_{GC,\theta} = 1 - \frac{\gamma_\theta^2}{\omega_\theta^2}$$

$$\gamma_\theta^2 = WSV$$

$$\omega_\theta^2 = BSV$$

	f	$k_a$	V	$Cl_{po}$	$Cl_{mo}$	$Cl_{mp}$
$r_{GC}$ (%)	47	0	45	38	74	52

- Similar results with the 3 model-based tests
- 47% decrease in  $Cl_{mo}$  in PM (P-value=0.005)



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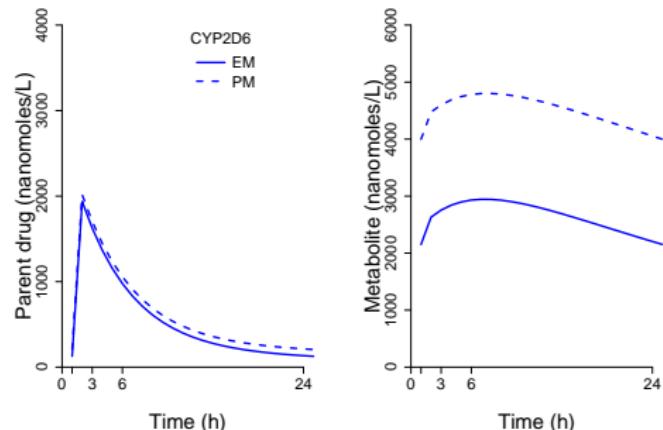
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# Conclusion

“The investigation of the effect of PG on the PK of a drug substance may be performed using a population PK approach in genotyped subjects and patients, or in a conventional PK study. In both cases the study should include a satisfactory number of patients of each geno- or phenotype in order to obtain valid correlation data.”

EMEA (2007)

- NLMEM are a powerful tool in the analysis of pharmacogenetic studies
  - ↪ more flexible designs
  - ↪ complex models
- Asymptotic tests require correction for type-I error inflation on designs with small N due to the imbalance in genotypes
  - ↪ simulation-based approaches or permutation tests