

## Introduction:

Daily number of urge urinary incontinence (UUI) episodes is a count endpoint for assessing overactive bladder disease activity and commonly modeled using Poisson (PS) regression.

PS assumes equi-dispersion, meaning that expected mean count is equal to the variance. However, UUI data are generally over-dispersed, i.e. the overall variance is much larger than the mean value. Deviation from equi-dispersion occurs both between patients, as well as within individual patient experience.

Poisson regression does not describe observed UUI data well, because the distribution of counts is skewed and contains a large number of zeroes, which result in poor model fits under the standard PS models, which rely on log-transformation of the data.

Appropriate specification of the distribution of UUI rates between patients via a Poisson-Gamma model [4], and accounting for dispersion characteristics within patients result in substantial improvement in the model fit. Two general strategies to account for within-patient dispersion were examined here: 1) Use of a generalized Poisson (GP) model, and 2) Use of zero-inflated (ZIP) models.

## Objective:

To evaluate methods for describing count data that is not equi-dispersed between or within patients.

## Methods:

Placebo UUI count data from 500 patients participating in 7 studies were used. Three-day patient diaries were collected at study start, and at various times up to 7 weeks after the start of treatment

### Models

Urinary Urge Incontinence counts (*uui*) were modeled as follows:

$$\widehat{uui}_{i,t} = uui_{BLi} \cdot (1 - Eff \cdot (1 - e^{-k_{plc} \cdot t}))$$

$$uui_{BLi} \sim \text{gamma}(\alpha \cdot \beta)$$

$$Eff = \frac{e^{\text{logit}Eff}}{1 + e^{\text{logit}Eff}}, \quad 0 < Eff < 1$$

$$uui_{i,t} \sim P(\widehat{uui}_{i,t})$$

### Count Models

Poisson

$$P(Y = n) = \frac{e^{-\lambda} \cdot \lambda^n}{n!}$$

Generalized Poisson

$$GP(Y = n) = \frac{e^{-\lambda - n\delta} \cdot \lambda(\lambda + n\delta)^{n-1}}{n!}$$

Note a Poisson distribution is a generalized Poisson distribution with dispersion factor  $\delta = 0$ . Mean count and variance are given by:

$$\bar{x} = \frac{\lambda}{1 - \delta} \quad \text{var}(x) = \frac{\lambda}{(1 - \delta)^3}$$

Zero-Inflated Poisson

$$P(Y = n) = p_0 \cdot I_{Y=0} + (1 - p_0) \cdot f(Y = n)$$

where  $f(y)$  is either a Poisson or Generalized Poisson

## Methods (continued)

### Estimation method

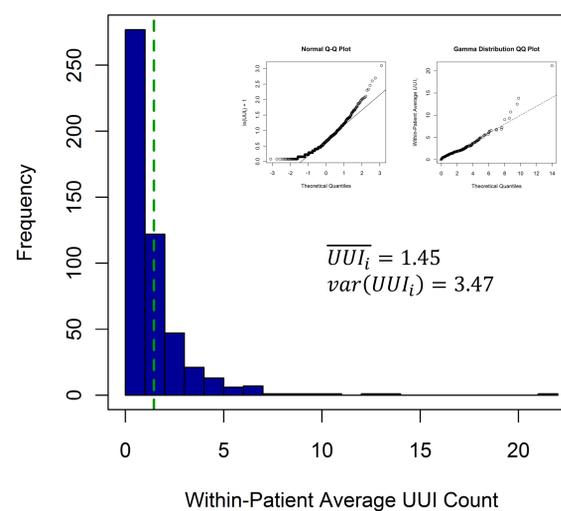
MCMC in WinBUGS was used to estimate the posterior parameter distributions

### Model evaluation

Models were compared by :

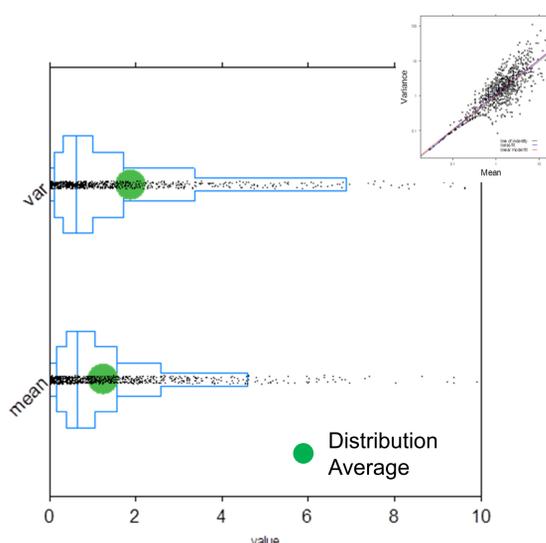
- Deviance Information Criterion (DIC)
- Plausibility and precision of parameter estimates
- Ability to capture individual and aggregate trends and observed variability
- Precision of parameter estimates.

Figure 1. Overall UUI counts are overdispersed



These plots of within-patient mean UUI counts show that the variance between patients is substantially larger than the distribution mean. Even though all patients experienced at least one UUI episode, there is a large proportion of patients with mean UUI counts close to 0.

Figure 2. Within-patient UUI counts are also over-dispersed



Here, we compare the within-patient means to the within-patient variances. The inset graphs suggests that, on average, once we appropriately account for the distribution of patient means via a gamma distribution, a Poisson model of daily counts may be appropriate. Closer examination reveals that patient UUI counts are also over-dispersed somewhat.

Figure 3. Model development table

Model	Description	Reference Model	ΔDIC	Comment
00	Random rates between patients	—	—	No distributional constraints on the rates
01	Poisson-Gamma Model	00	-105.2	Use parameterization with random rate parameter. Shape parameter is the overall mean
02	Poisson-Gamma Model	01	3.8	Shape and rate simultaneously fit. Identical fit to Model 01
03	Add effect of time to Model 02	02	-1073.7	Big improvement. Rate constant is ~.055 day <sup>-1</sup> . Maximal effect is ~66% decline due to placebo
04	Test GP model with average dispersion parameter	03	-54.0	Better fit, per DIC. Dispersion parameter is ~0.16
05	Random dispersion parameter between subjects	04	—	Did not converge to appropriate parameter values
06	ZIP Model within patients	03, 04	-70.5, -16.5	Similar results to model 04
07	ZIGP Model	06	-4.8	Did not significantly improve the fit

## Results:

- Models reported here converged well, with good mixing characteristics, and reasonable final parameter estimates
- Models 01 and 02 represent alternative parameterizations of the Poisson-Gamma Model [4]:  
Model 01:  $Y_i \sim \text{Poisson}(\lambda u_i)$   
 $u_i \sim \text{gamma}(r_i, r_i)$   
Model 02:  $Y_i \sim \text{Poisson}(\lambda_i)$   
 $\lambda_i \sim \text{gamma}(\alpha_i, \beta_i)$
- Model 01 has the advantage that  $\text{gamma}(r,r)$  has mean 1, so that  $\lambda$  represents the population mean number of counts
- Treatment with placebo resulted in a pronounced 66% improvement in UUI events (Model 03).
- On average, there was overdispersion within patients, and inclusion of this effect in a GP model improved the fit (Model 04).
- Specification of the dispersion parameter as a random effect in a GP model proved troublesome though there is clearly different behavior between patients (Model 05)
- A ZIP model also accounted for average within-patient overdispersion, while a zero-inflated GP did not improve the model fit substantially

## Conclusions:

- Count data in OAB have complex statistical behavior
- Placebo treatment results in substantial improvement in UUI rates with time
- Simple Poisson Regression techniques will result in inaccurate parameter estimates, overestimating small average count rates for UUI events
- Variability between patients could be accounted for with a gamma distribution
- Variation within subjects was over-dispersed on average, though behavior varied between individuals. This behavior could be described by GP or ZIP models. Further work is needed to account for the differences between patients.

## Key References

- [1] Consul PC. (1989) Generalized Poisson Distributions. Properties and Applications. Statistics: textbooks and monographs Vol 99. Marcel Dekker, Inc.
- [2] Consul & Jain (1973). A Generalization of the Poisson distribution. Technometrics 15, 791-799
- [3] Plan & Karlsson (2009). New models for handling correlated underdispersed Likert pain scores. PAGE 2009.
- [4] Ntzoufras (2009). Bayesian Modeling Using WinBUGS. Hoboken, NJ: John Wiley & Sons.